

# A critical review of outcomes of bariatric surgery

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Association of British Clinical Diabetologists

# Principal outcome measures for bariatric surgery

Weight loss

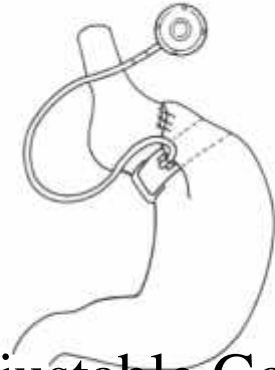
Impact on comorbidity

Quality of life

Safety

Cost

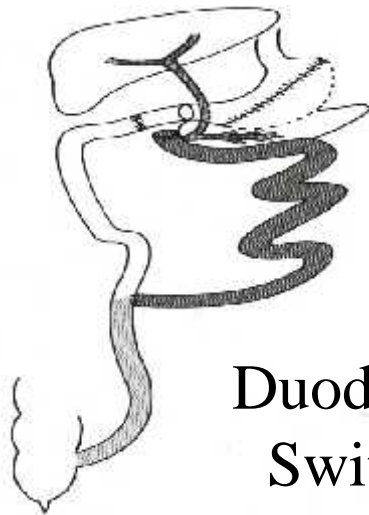
# Bariatric surgery



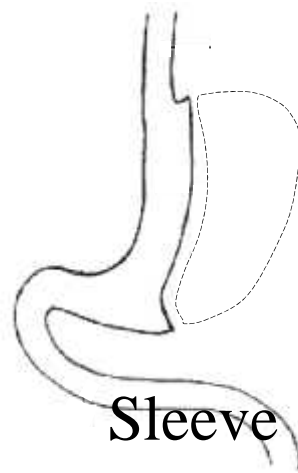
Adjustable Gastric  
Banding



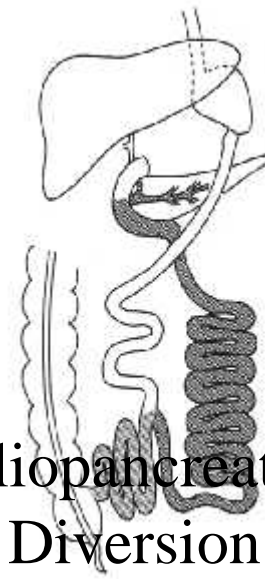
Roux-en-Y  
Gastric Bypass



Duodenal  
Switch

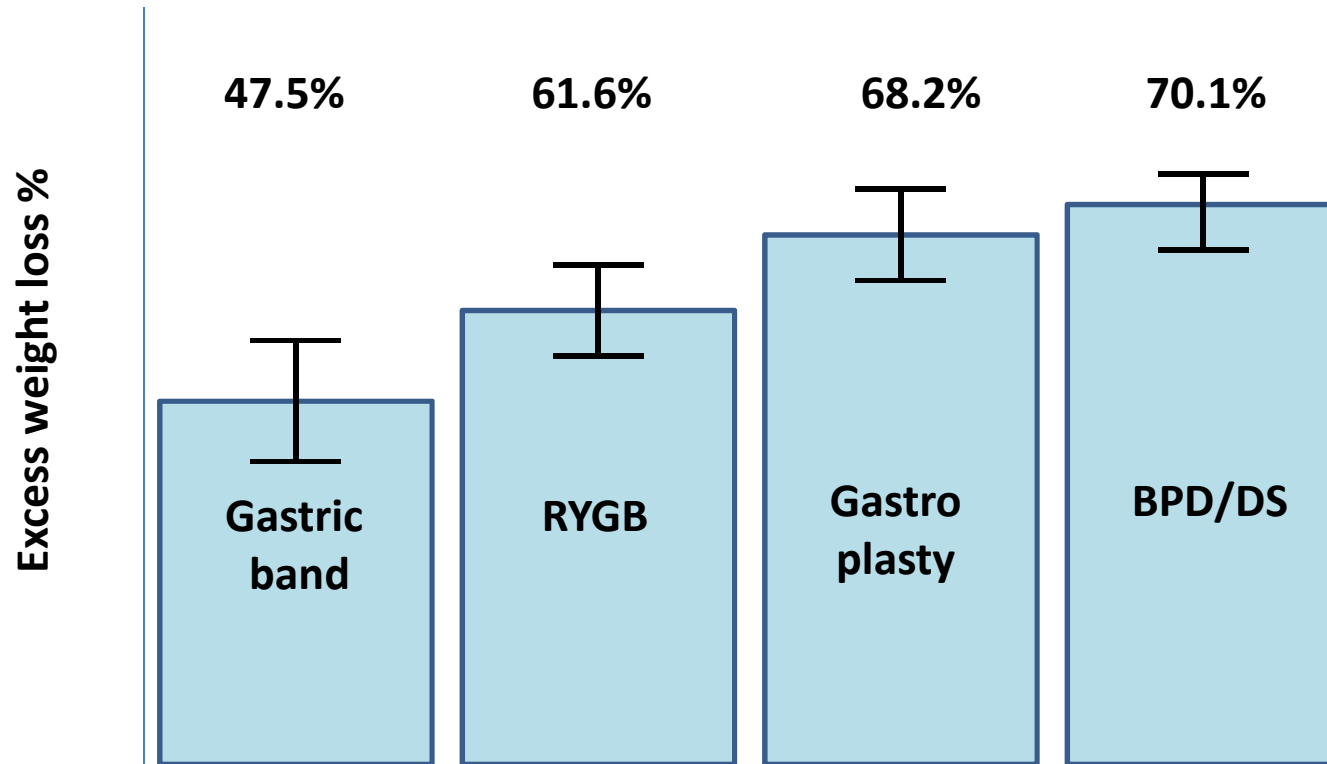


Sleeve  
Gastrectomy



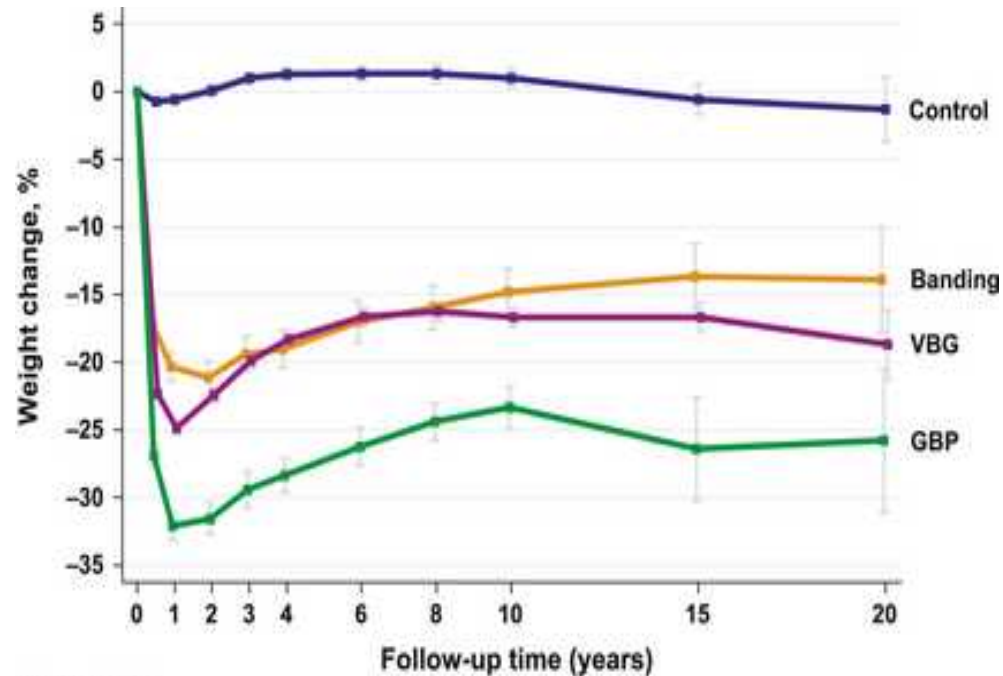
Biliopancreatic  
Diversion

# Weight loss after bariatric surgery



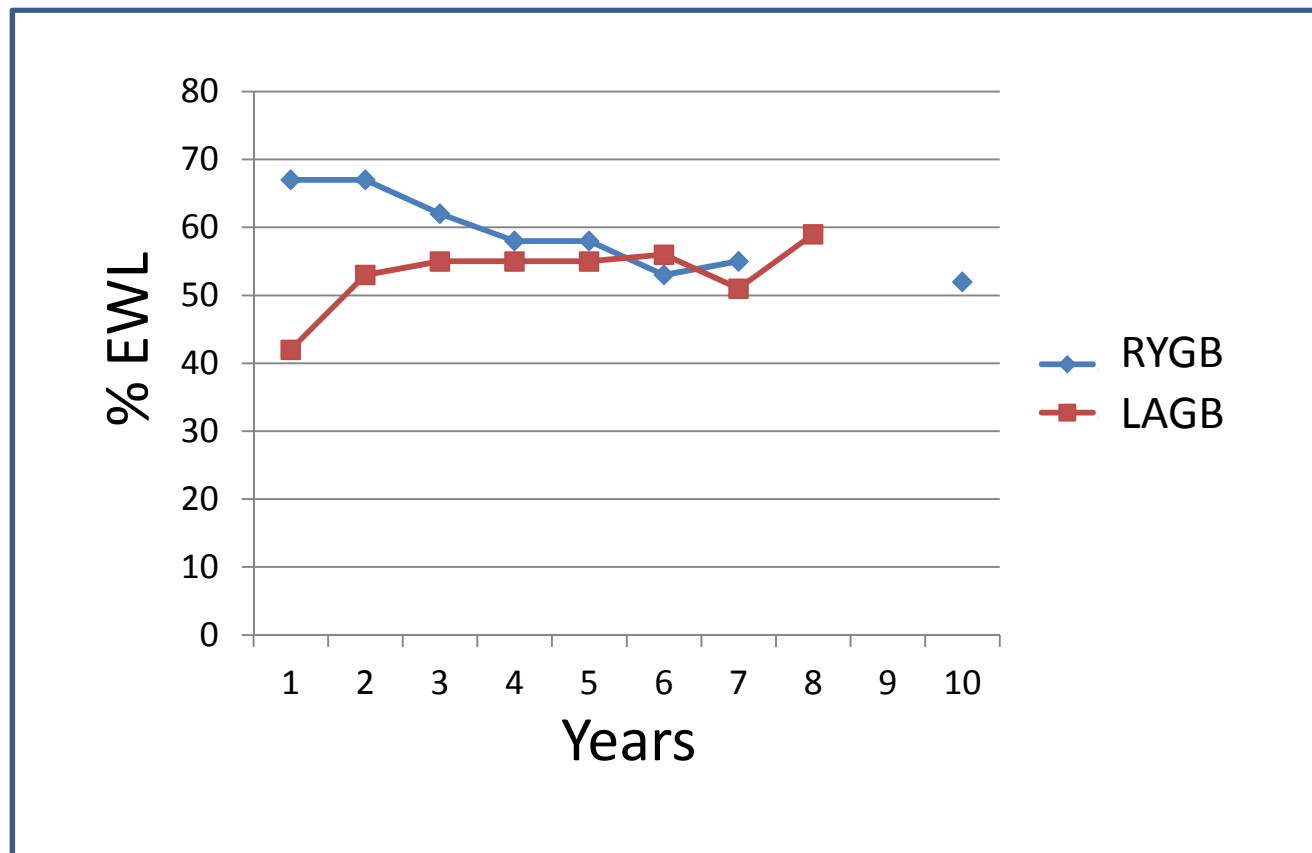
Buchwald H et al. Bariatric surgery: a systematic review and meta-analysis. JAMA 2004 Oct 13;292(14):1724-37.

# Weight loss in the Swedish Obese Subjects (SOS)



	No. examined					
	0	1	2	3	4	20
Control	2037	1490	1242	1267	556	176
Banding	376	333	284	284	150	50
VBG	1369	1086	987	1007	489	82
GBP	265	209	184	180	37	13

# Systematic review of medium term weight loss after RYGB and LAGB



O'Brien P et al. Systematic review of medium-term weight loss after bariatric operations. *Obes Surg* 2006 16;8:1032-1042.

# Metabolic / bariatric surgery worldwide 2011.

Year	Percentage of procedures		
	2003	2008	2011
RYGB	65.1	49.0	46.6
LAGB	24.4	42.3	17.8
SG	0	5.3	27.9
BPD	6.1	4.9	2.1

Roux-en-Y gastric bypass (RYGB) 46.6%; sleeve gastrectomy (SG) 27.8%; adjustable gastric banding (AGB) 17.8%; and biliopancreatic diversion/duodenal switch (BPD/DS) 2.2%. The global trends from 2003 to 2008 to 2011 showed a decrease in RYGB: 65.1 to 49.0 to 46.6%; an increase, followed by a steep decline, in AGB: 24.4 to 42.3 to 17.8%; and a marked increase in SG: 0.0 to 5.3 to 27.89%. BPD/DS declined: 6.1 to 4.9 to 2.1%.

Buchwald H and Oien DM. *Obes Surg* 2013 Apr;23(4):427-36.

# Principal outcome measures for bariatric surgery

Weight loss

Impact on comorbidity

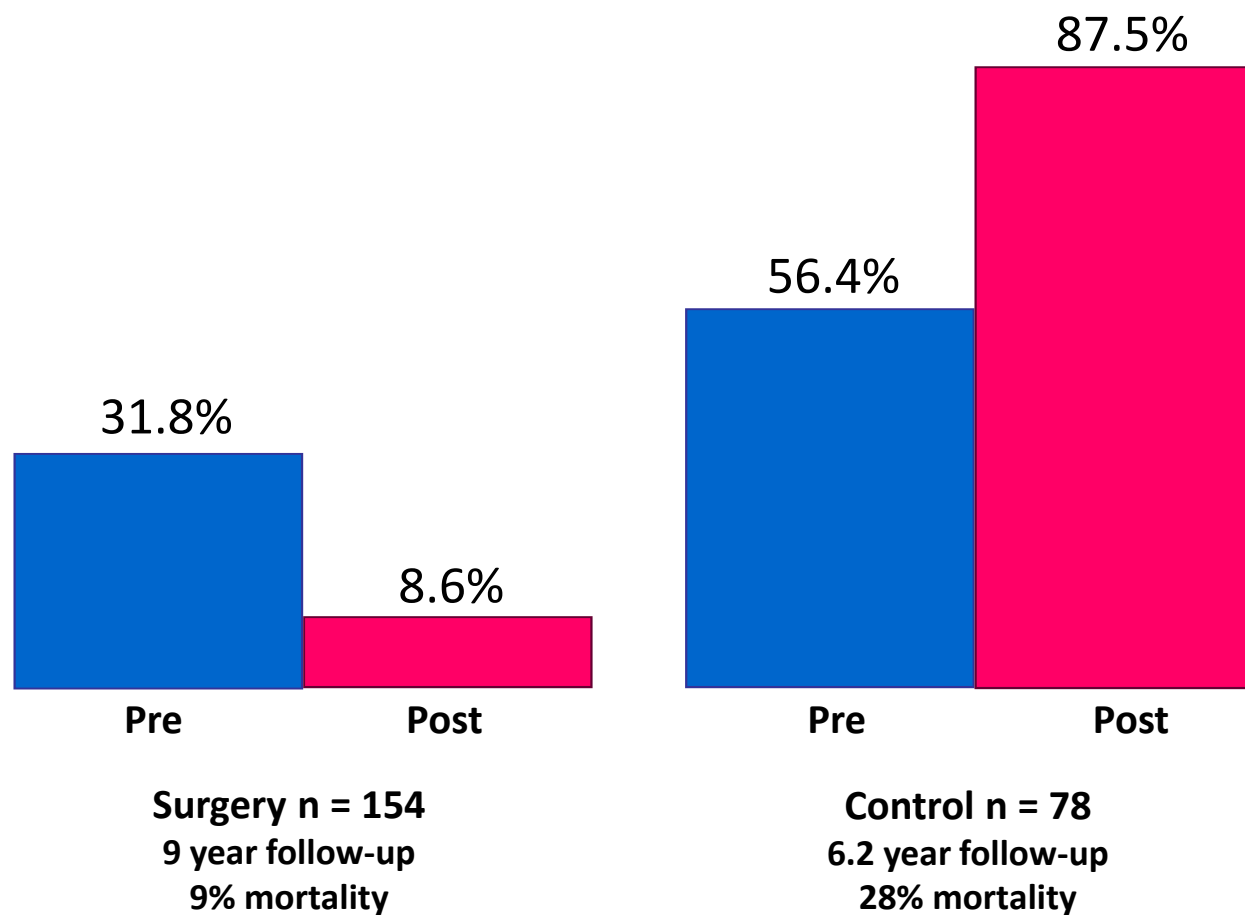
Quality of life

Safety

Cost



# Requirements for oral therapy or insulin in type 2 diabetes after gastric bypass or continued medical management



*Modified from MacDonald et al. J Gastroenterol Surg 1997;1:213–220.*

“Overall, 78.1% of diabetic patients had complete resolution, and diabetes was improved or resolved in 86.6% of patients.  
“

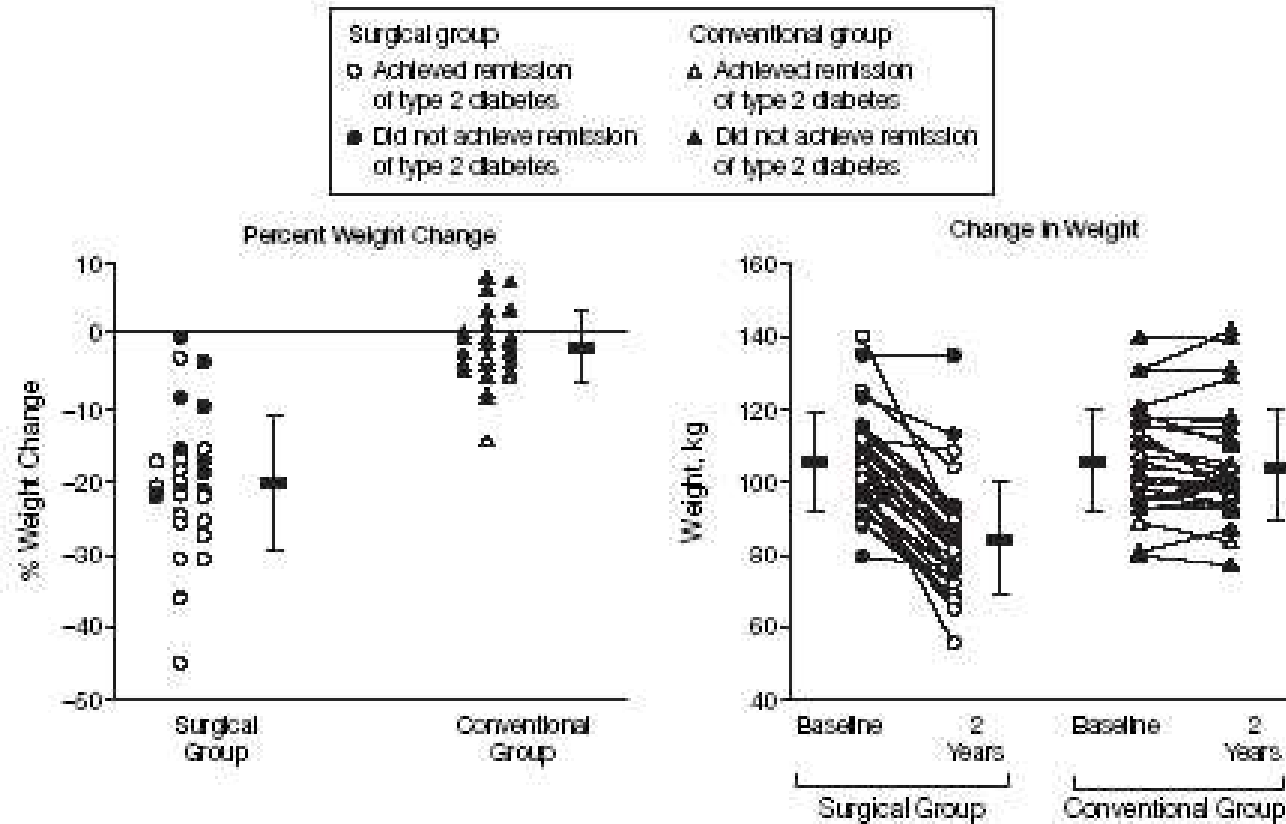
Buchwald et al. Am J Med 2009.

TABLE 3. Resolution of T2DM According to Preoperative Severity and Duration (n = 191)

<b>Number</b>	<b>Improved 33</b>	<b>Resolved 158</b>
<b>Severity*</b>		
IFG (n = 14)	0	100%
DC-T2DM (n = 32)	3%	97%
OA-T2DM (n = 93)	13%	87%
I-T2DM (n = 52)	38%	62%
<b>Duration*</b>		
≤5 years (n = 119)	5%	95%
6 to 10 years (n = 44)	25%	75%
>10 years (n = 28)	46%	54%

\* Indicates  $P < 0.001$ .

**Figure 2.** Percentage of Weight Loss Achieved Over the 2-Year Study Period (n=60) and Individual Weight Measures at Baseline and at 2 Years



Remission indicates those achieving remission of type 2 diabetes (see "Methods") at 2 years. Data markers with error bars indicate mean (SD).

# Gastric banding versus conventional therapy for type 2 diabetes

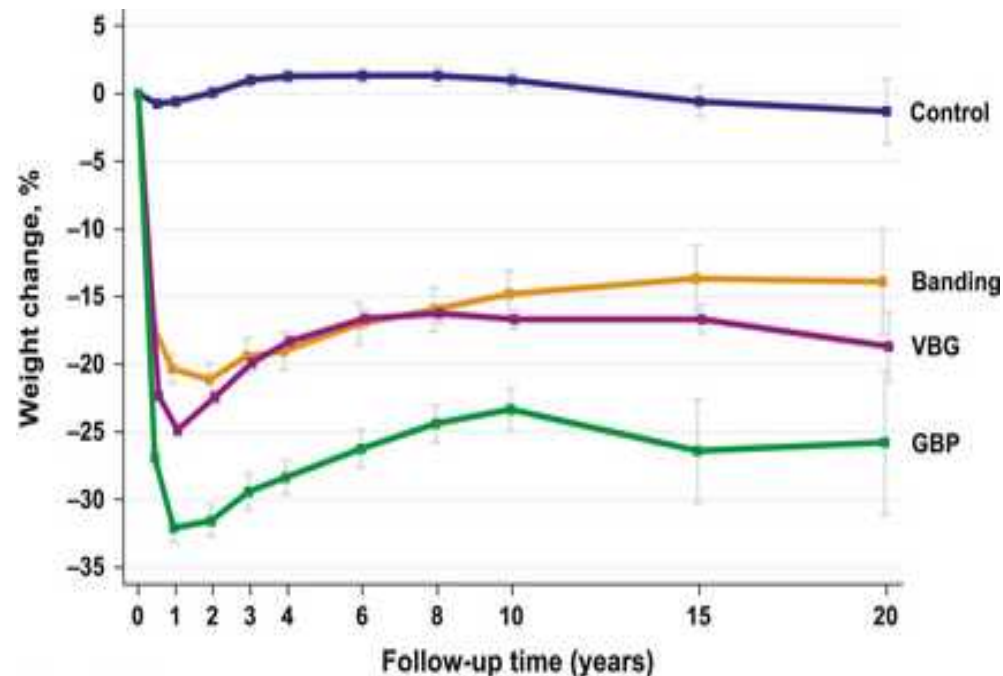
	Surgical	Medical
Diabetes remission	22 (73%)	4 (13%)
RR remission	5.5	1
% Weight loss	20.7%	1.4%

N=60

Remission related to weight loss ( $R^2 = 0.46$ ,  $P < .001$ ) and lower baseline HbA<sub>1c</sub> levels (combined  $R^2 = 0.52$ ,  $P < .001$ ).

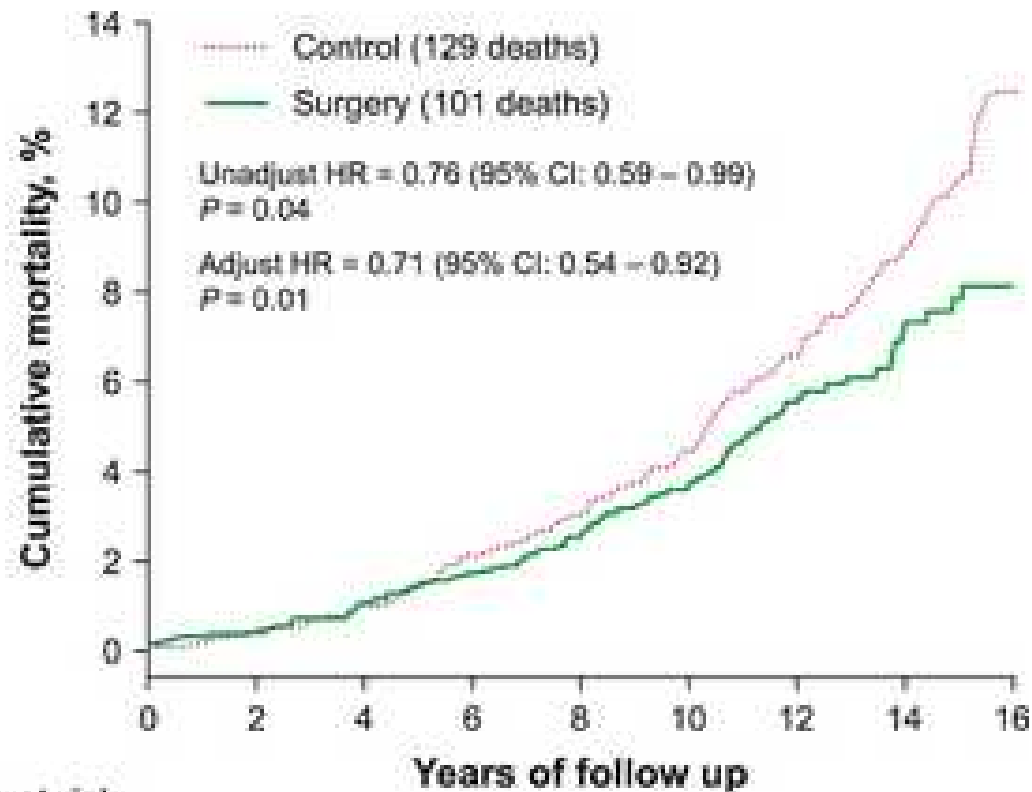
**There were no serious complications in either group.**

# Weight loss in the Swedish Obese Subjects (SOS) trial



	No. examined					
Control	2037	1490	1242	1267	556	176
Banding	376	333	284	284	150	50
VBG	1369	1086	987	1007	489	82
GBP	265	209	184	180	37	13

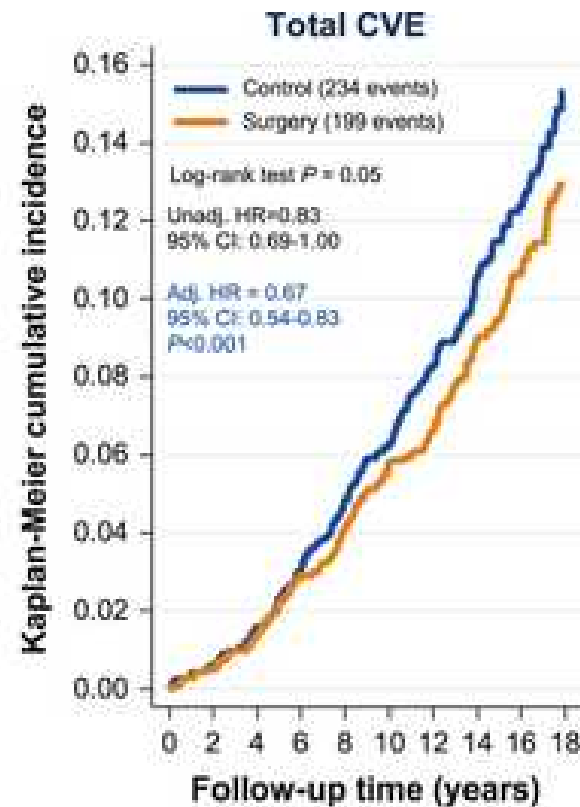
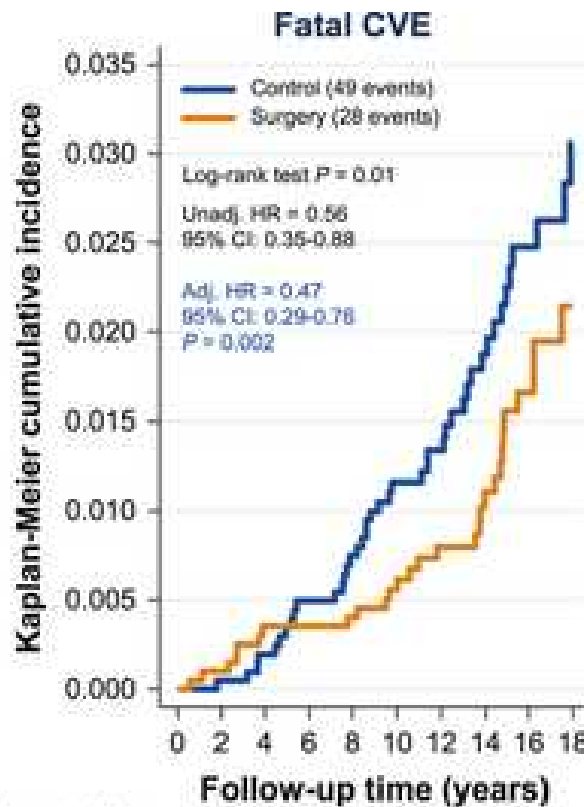
# Mortality in the Swedish Obese Subjects (SOS) trial



## Number at risk

Surgery	2010	2001	1987	1821	1590	1260	760	422	189
Control	2037	2027	2016	1842	1455	1174	749	422	156

# Cardiovascular events in the Swedish Obese Subjects (SOS) trial



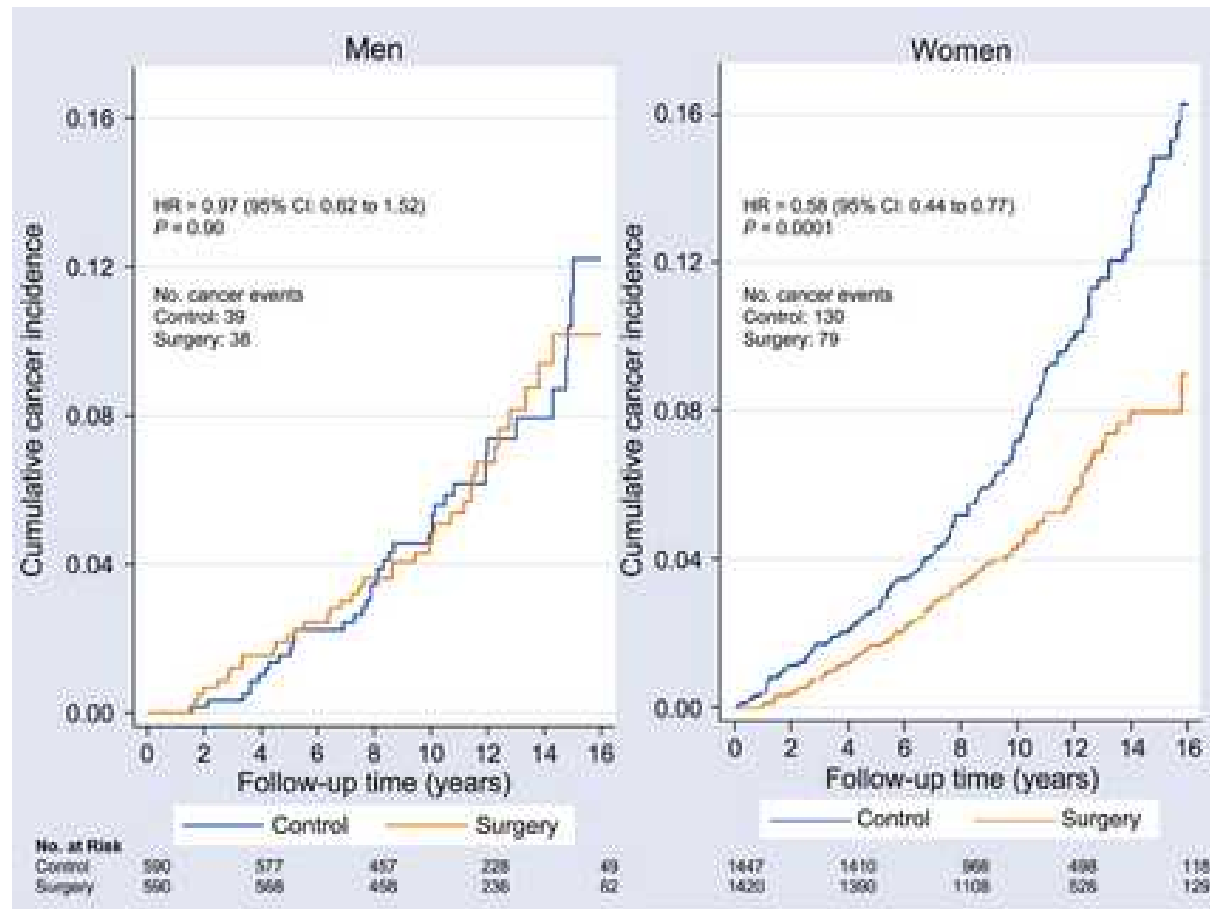
Number at risk:

Control	2037	1993	1423	405
Surgery	2010	1970	1557	412

Control	2037	1945	1326	381
Surgery	2010	1921	1468	375



# Cancer incidence in the Swedish Obese Subjects (SOS) trial



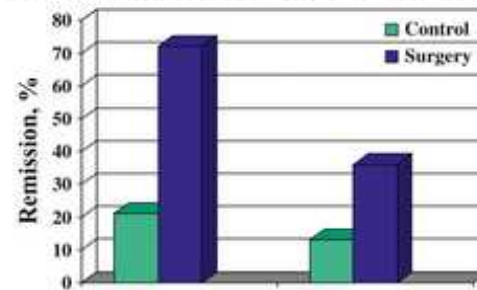
Journal of Internal Medicine

Volume 273, Issue 3, pages 219-234, 8 FEB 2013 DOI: 10.1111/joim.12012

<http://onlinelibrary.wiley.com/doi/10.1111/joim.12012/full#joim12012-fig-0006>

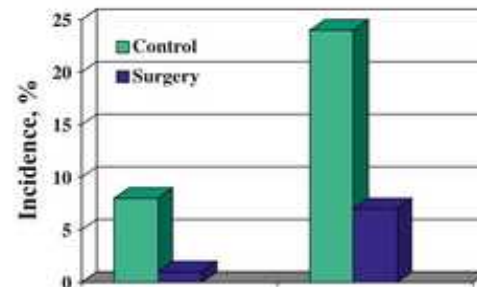
# Diabetes Remission/Incidence in the Swedish Obese Subjects (SOS) trial

(a) SOS. Remission from diabetes over 2 and 10 years



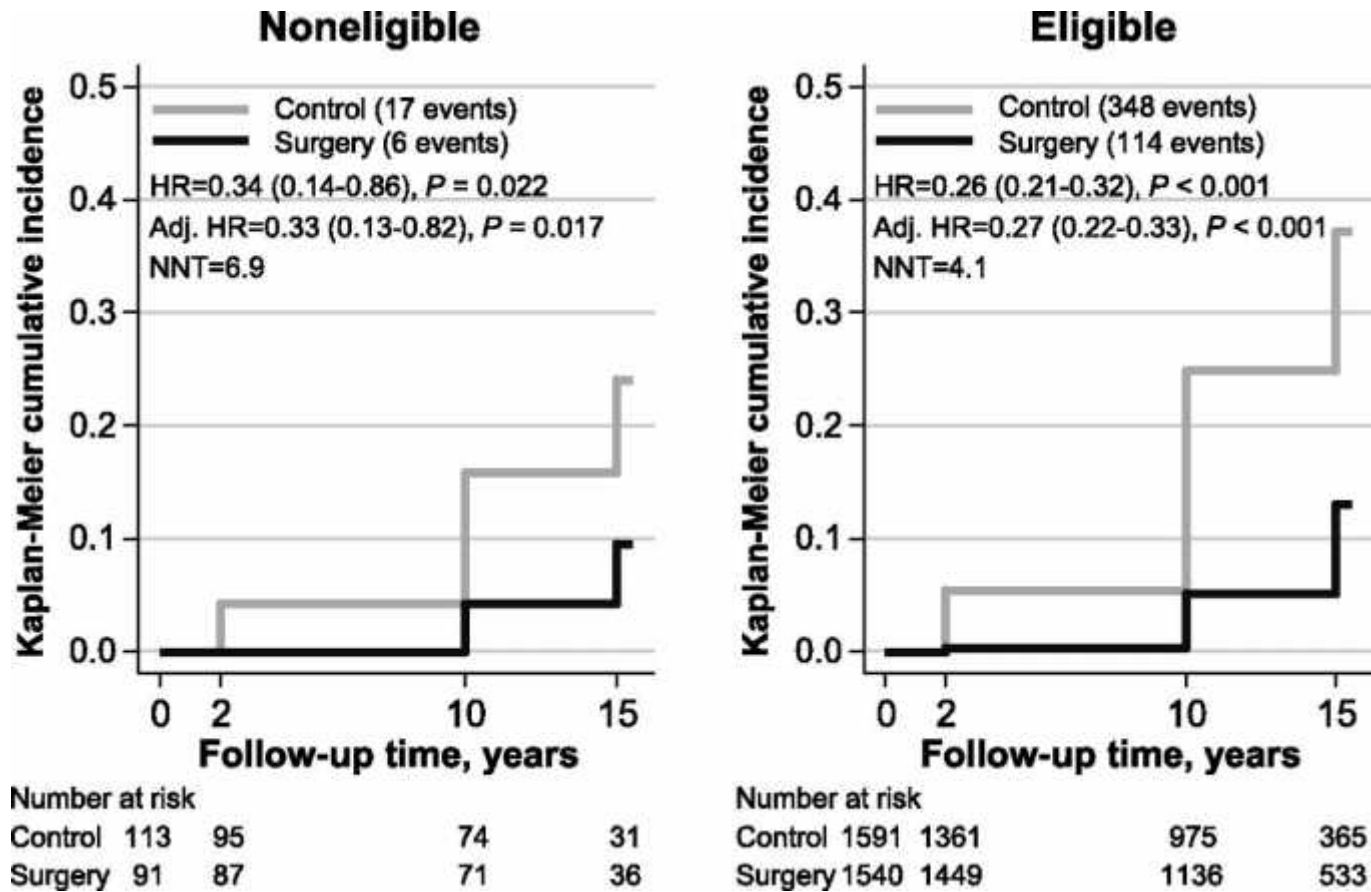
	2 year	10 year
Number of subjects:		
Control	248	84
Surgery	342	118
Adjusted Odds ratio	8.42	3.45
95% CI	5.68 - 12.5	1.64 - 7.28
P value	<0.001	<0.001

(b) SOS. Incidence of diabetes over 2 and 10 years

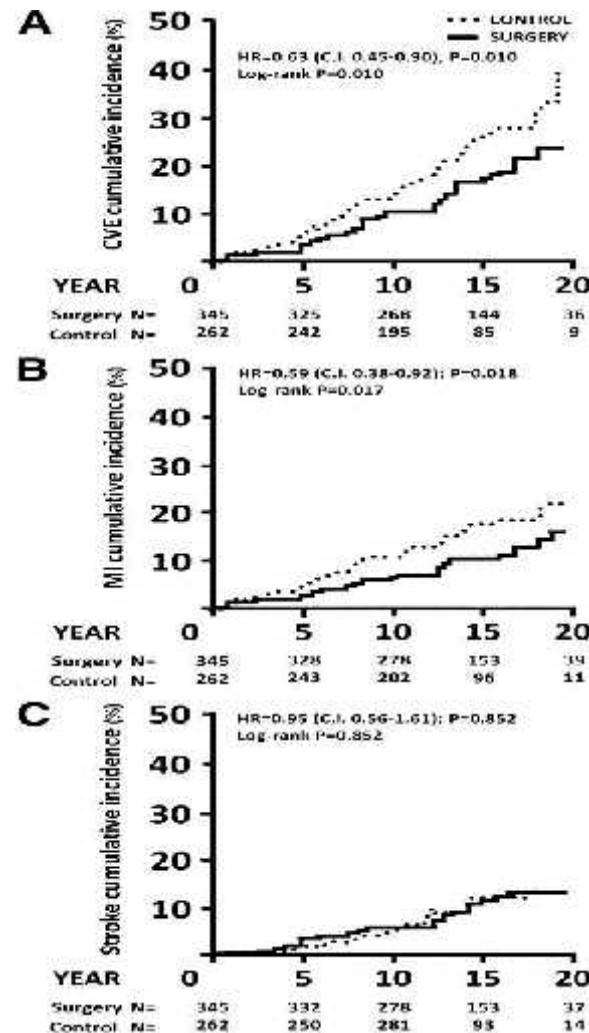


	2 year	10 year
Number of subjects:		
Control	1402	539
Surgery	1489	517
Adjusted Odds ratio	0.14	0.25
95% CI	0.08 - 0.24	0.17 - 0.38
P value	<0.001	<0.001

# Cumulative incidence of type 2 diabetes over 15 years by treatment in noneligible and eligible groups (SOS study).



# Incidence of cardiovascular events in SOS subjects with diabetes at baseline.



# Percentage Change in Risk Factors at 2 and 10 Years in the SOS Study

Variable	Changes at 2 Years			Changes at 10 Years		
	Control n=1660	Surgery n=1845	(95%CI)	Control n=627	Surgery n=641	(95%CI)
<b>SBP</b>	0.5	-4.4	2.8 (2.1 to 3.6) <sup>§</sup>	4.4	0.5	1.1 (-0.3 to 2.6)
<b>DBP</b>	0.3	-5.2	3.2 (2.4 to 3.9) <sup>§</sup>	-2.0	-2.6	-2.3 (-3.5 to -1.0) <sup>§</sup>
<b>Chol</b>	0.1	-2.9	1.0 (0.1 to 1.9) <sup>*</sup>	-6.0	-5.4	-2.0 (-0.2 to -3.8) <sup>*</sup>

SBP: systolic blood pressure. DBP: diastolic blood pressure. Chol: cholesterol.

<sup>§</sup> $P < 0.001$  <sup>\*</sup> $P < 0.05$

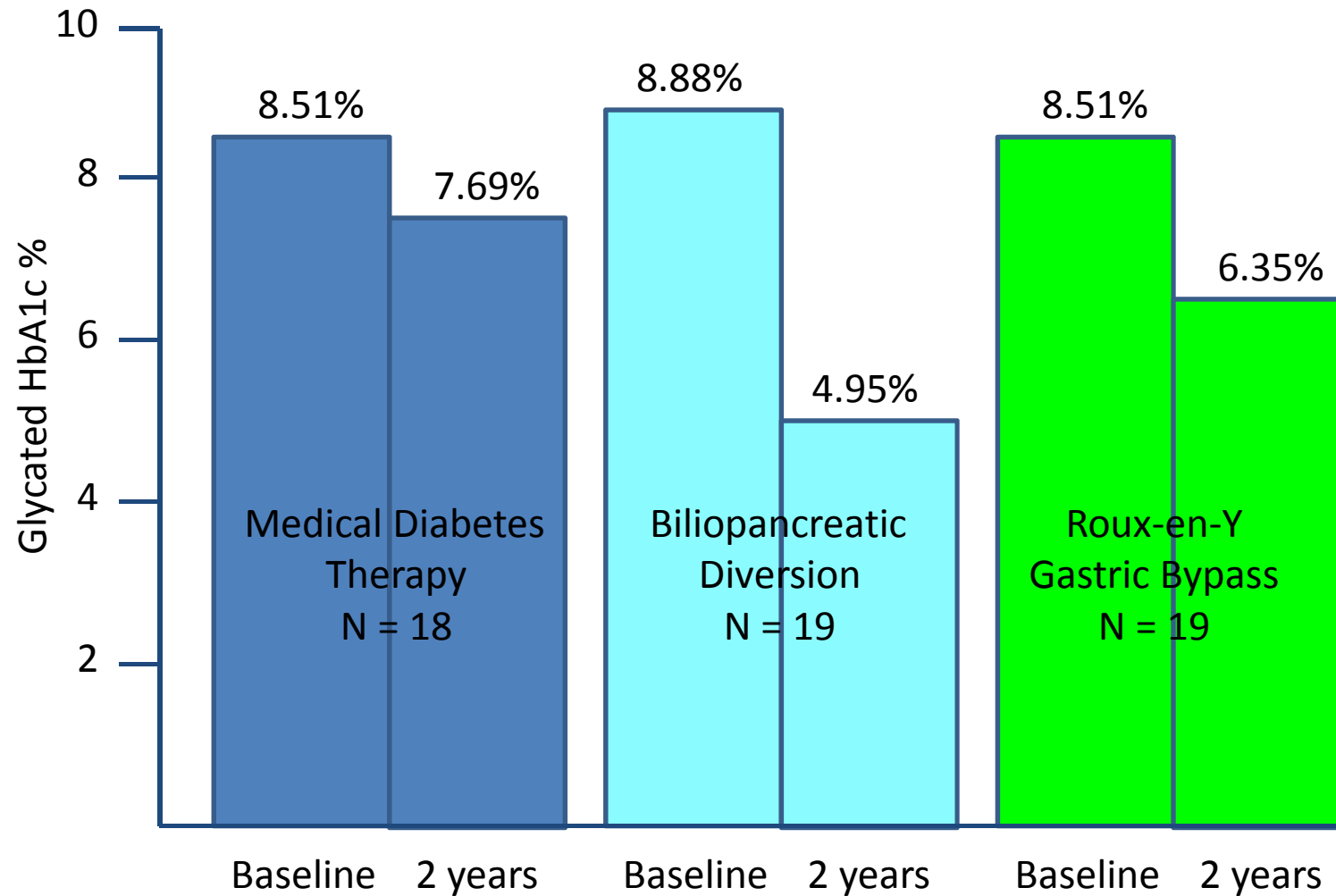
Variable	Changes at 10 Years in Surgery Subgroups		
	Banding n=156	VBG n=451	Gastric Bypass n=34
<b>SBP</b>	2.1	0.4	-4.7
<b>DBP</b>	-1.4	-2.5	-10.4 <sup>†</sup>
<b>Chol</b>	-5.0	-5.0	-12.6 <sup>‡</sup>

VBG: vertical banded gastroplasty

<sup>†</sup> $P < 0.10$  <sup>‡</sup> $P < 0.05$

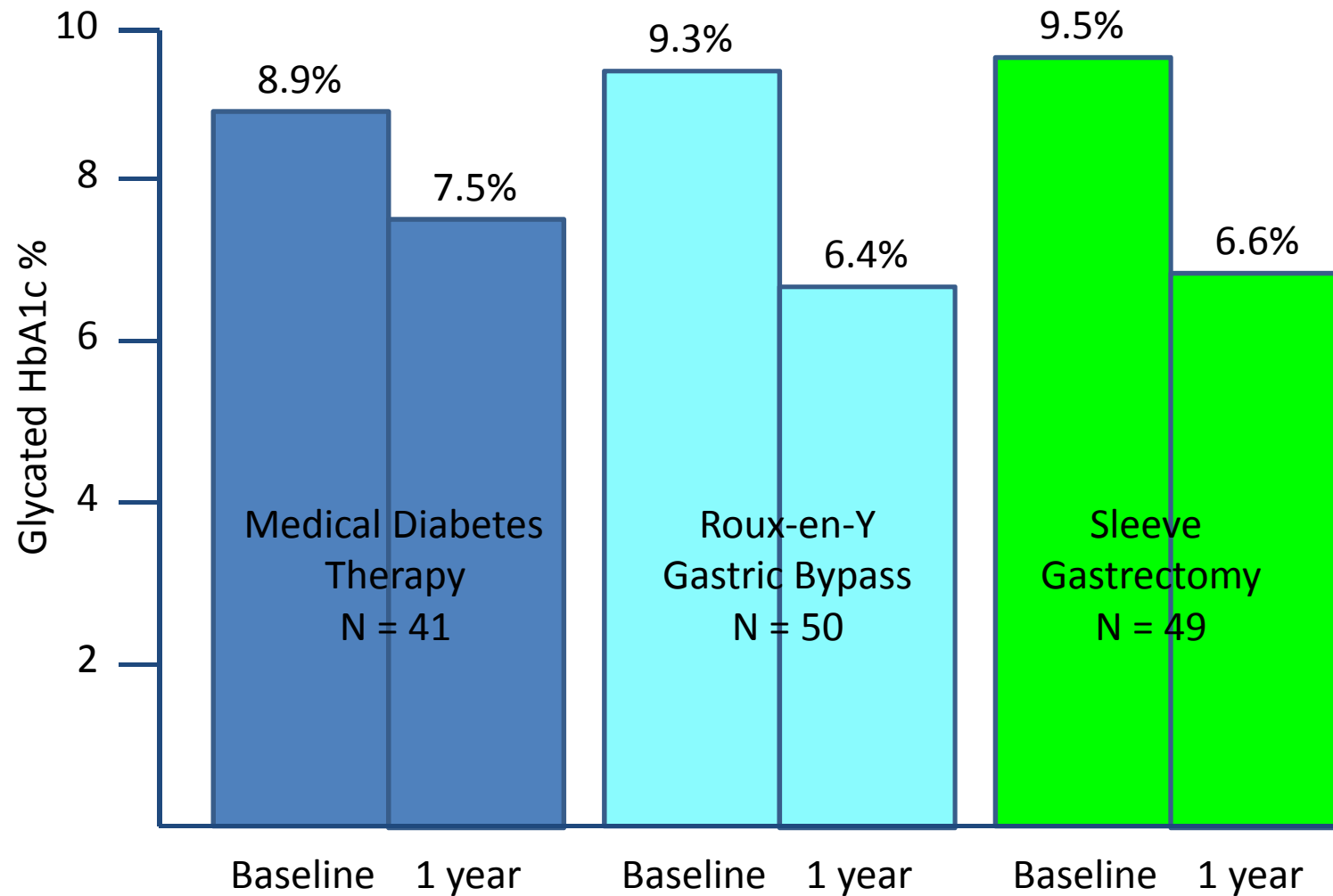
Sjostrom L, et al. *N Engl J Med.* 2004;351(26):2683-2693.

# Bariatric Surgery versus conventional Medical Therapy for Type 2 Diabetes



Mingrone G et al. NEJM 2012; 366:1577-1585.

# Bariatric Surgery versus Intensive Medical Therapy in Obese Patients with Diabetes



Schauer P, et al. NEJM 2012; 366:1567-1576.

# Bariatric Surgery versus Intensive Medical Therapy in Obese Patients with Diabetes (STAMPEDE)

Change from baseline to 12 months		Medical Therapy	Gastric Bypass	Sleeve Gastrectomy
HbA1c<6% (PEP)	n (%)	5 (12)	21 (42)	18 (37)
No diabetes drugs	n (%)	0	21 (42)	13 (27)
Δ Insulin use	%	51 to 38	46 to 4	45 to 8
Δ weight	Kg	-5.4 ± 8.0	-29.4 ± 8.9	-21.5 ± 8.5
Δ BMI	Kg/m <sup>2</sup>	36.3 to 34.4	37.0 to 26.8	36.1 to 27.2
Δ HDL	%	+11.3±25.7	+28.5±22.7	+28.4±21.9
Δ Triglycerides	%	-14 (-40 to 3)	-44 (-65 to -16)	-42 (-56 to 0)
ΔCRP	%	-33.2 (-71 to 0)	-84 (-91 to -59)	-80 (-90 to -63)

Data are mean ± SD or median (IQR).

150 randomised. 41 (MT) 50 (GB) and 49 (SG) completed follow-up.



# **Sleeve gastrectomy and type 2 diabetes mellitus: a systematic review.**

27 studies

673 patients

Baseline mean BMI 47.4 kg/m<sup>2</sup>

Mean % EWL 47.3% (range 6.3-74.6%)

Mean follow-up 13.1 months (range 3-36).

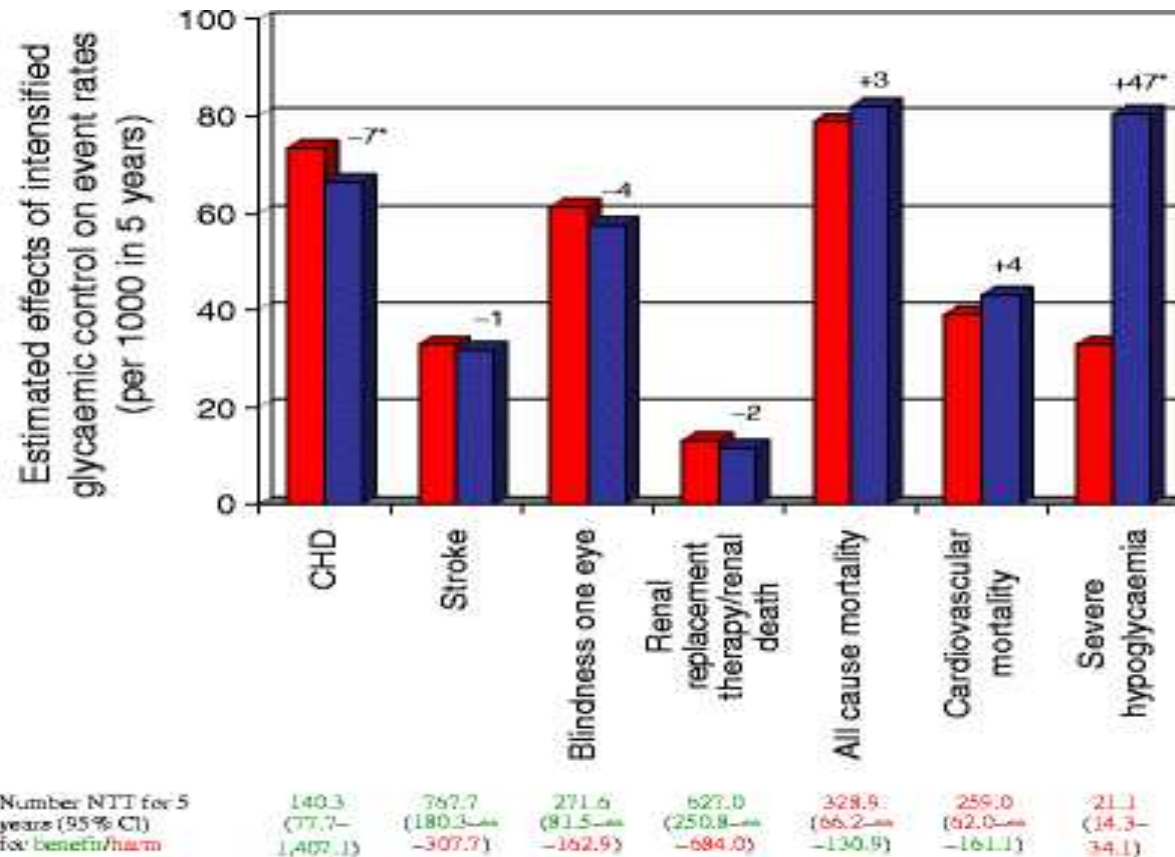
DM “resolved” in 66.2%, improved in 26.9%, stable in 13.1%.

Mean decrease in HbA1c -1.7%

[Gill RS](#), [Birch DW](#), [Shi X](#), [Sharma AM](#), [Karmali S](#).

World J Surg 2010.

## Effect of intensive glycaemic control on macrovascular and microvascular events, mortality and serious hypoglycaemia in type 2 diabetes



% incidence rates are indicated in red over 5 years. The calculated effects of glucose lowering (from metaanalysis) are shown in blue, and absolute risk reductions /increases are shown at the top of the bars per 1,000 patients / 5 years. NNT for benefit or harm for 5 years is shown in green and red, respectively.

\*Statistically significant treatment effects (CHD  $p = 0.03$ ; severe hypoglycaemia  $p < 0.00001$ )

# Diabetes relapse after RYGB

- 42 RYGB >3 yrs follow-up
- 64% initial remission + 36% improved
- At follow-up 24% (10) recurred or worsened
- Associated with: Lower preoperative BMI (47.9 versus 52.9 kg/m<sup>2</sup>; P=0.05)  
Regain of greater % of lost weight (37.7% vs 15.4%; =0.002)  
Greater weight loss failure rate (63% vs 14%; P=0.03)  
Higher postoperative glucose levels (P= 0.0002)
- Use of insulin or oral medication before RYGB were more likely to experience improvement rather than resolution (92% versus 8%, P <or=0.0001; and 85% versus 15%; P 0.0006, respectively).

# Diabetes relapse after RYGB

- N=177 RYGB. Follow-up 5 to 16 years.
- 157 (89%) initial “remission” of T2DM
- Decrease mean BMI (50.2 +/- 8.2 kg/m<sup>2</sup>) to 31.3 +/- 7.2 kg/m<sup>2</sup> postoperatively
- 20 patients (11.3%) did not have T2DM remission despite substantial weight loss
- Of 157 patients with initial remission, 68 (43%) subsequently developed T2DM recurrence.
- Remission of T2DM was durable in 56.9%.
- Durable (>5-year) resolution of T2DM was greatest in the patients who originally controlled their T2DM with diet (76%) or oral agents (66%). Relapse more likely in men, and those who regained weight.

# Principal outcome measures for bariatric surgery

Weight loss

Impact on comorbidity

Quality of life

Safety

Cost

# Effects of bariatric surgery on quality of life

## **Quality of life studies:**

Substantial short-medium term improvements for all common procedures in most Studies (eg using tools such as BAROS, HRQoL, SF36).

487 surgical and matched controls (SOS): Improved HRQL, peak at 6-12 months, reduced but maintained at 2 years (Karlsson et al IJO 1998).

## **Sick leave and disability pension:**

369 surgical versus 371 control patients (SOS): Surgery – 35% more sickness in year 1, 10-14% less in year 2, and fewer thereafter (Narbro et al. IJO 1999).

156 surgical versus control Patients (SOS): Males – Marginal effect for up to 19 years. Females – no effect (Gripeteg et al IJO 2012).

## **Return to work:**

Increased return to paid work after bariatric surgery (Hawkins et al Obes Surg 2007)

# Effects of bariatric surgery on quality of life in people with diabetes

What are the benefits?

Treatment simplification?

Insulin withdrawal

Eating restrictions

Reduce health resource use?

Reduce long term complications?

# Principal outcome measures for bariatric surgery

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# 13871 bariatric procedures in Italy, 1996-2006

	AGB	VBG	RYGB	BPD
Number	612	4215	1106	1988
Mortality	0.1%	0.15%	0.54%	0.8%

Causes of death	Pulmonary embolism	38.2%
	Cardiac failure	17.6%
	Leaks	17.6%
	Respiratory failure	11.8%
	Pancreatitis	
	Cerebral ischaemia	
	Intestinal ischaemia	
	Bleeding gastric ulcer	
	Internal hernia	

Factors associated with mortality

Type of surgery ( $p < 0.001$ ), open surgery, long operating time, case load, preoperative diabetes, preoperative hypertension.

# Adverse Outcomes Within 30 Days After Surgery

Outcome	LAGB n=1198	Laparoscopic RYGB n=2975	Open RYGB n=437	P value
Death	0 (0%)	6 (0.2%)	9 (2.1%)	<0.001
DVT or VTE	3 (0.3%)	12 (0.4%)	5 (1.1%)	0.05
Tracheal reintubation	2 (0.2%)	12 (0.4%)	6 (1.4%)	0.004
Endoscopy	1 (0.1%)	45 (1.5%)	5 (1.1%)	<0.001
Operations				
Tracheostomy	0 (0%)	6 (0.2%)	5 (1.1%)	0.001
Percutaneous drain	0 (0%)	13 (0.4%)	3 (0.7%)	0.48
Abdominal surgery	9 (0.8%)	94 (3.2%)	15 (3.4%)	<0.001
Failure to discharge at 30 days	0 (0%)	13 (0.4%)	4 (0.9%)	0.02
Composite endpoint	12 (1.0%)	143 (4.8%)	34 (7.8%)	<0.0001

Composite endpoint: death; deep-vein thrombosis (DVT) or venous thromboembolism (VTE); reintervention with the use of a percutaneous, endoscopic, or operative technique; or failure to be discharged from the hospital within 30 days after surgery

Flum DR, et al. *N Engl J Med.* 2009;361(5):445-454.

# Nutritional Deficiencies Reported After Malabsorptive Bariatric Surgery

<b>Problem</b>	<b>Mechanisms</b>
Anemia	Poor diet; malabsorption of iron, folic acid, vitamin B12, and ascorbate; non-adherence and lost to follow-up
Neurological syndromes Neuropathy Wernicke encephalopathy	Deficiencies of thiamin, B12, copper and zinc; Guillain-Barre syndrome
Osteomalacia	Vitamin D deficiency
Visual problems	Vitamin A deficiency
Pellagra	Niacin deficiency
Cardiomyopathy	Selenium deficiency
Acrodermatitis	Zinc deficiency
Neural tube defects Fetal brain hemorrhage	Maternal deficiencies of folic acid and vitamins

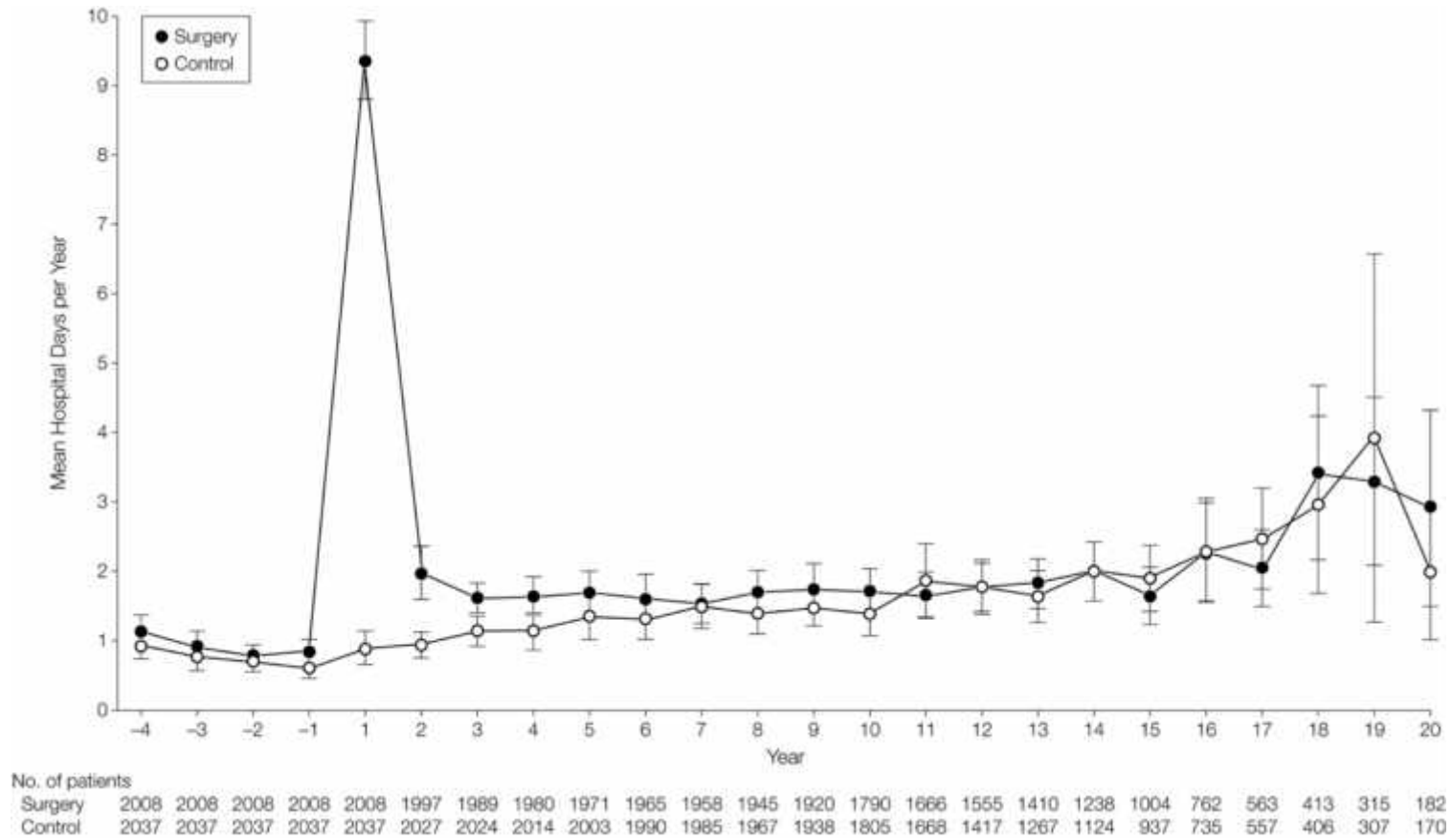
### Long-term nutritional, medical and psychological complications of bariatric surgery

Complication	Main causal factors	Frequency [Ref.]
<b>More common nutritional problems</b>		
Anaemia	Iron deficiency	52% [51]
	Vitamin B <sub>12</sub> deficiency	64% [51]
	Folic acid deficiency	38% [51]
	Vitamin C deficiency	34.6% [52]
	Bleeding	
Secondary hyperparathyroidism and metabolic bone disease	Vitamin D deficiency	51% [51]
	Hypocalcaemia	10% [51]
Peripheral neuropathy	Vitamin B <sub>12</sub> deficiency	
	Thiamin deficiency	18.3% [52]
<b>Unusual or rare nutritional problems</b>		
Wernicke-Korsakoff syndrome	Thiamin deficiency	
Mixed sensorimotor disturbance	Copper deficiency	
Night blindness	Vitamin A deficiency	
Coagulopathy	Vitamin K deficiency	
Fetal coagulopathy	Maternal vitamin K deficiency	
Fetal neural tube defects	Maternal folate deficiency	
Rash, neurological	Vitamin E deficiency	
Oedema	Protein malnutrition	
Pellagra	Niacin deficiency	
Acrodermatitis	Zinc deficiency	
Cardiomyopathy	Selenium and thiamin deficiencies	
<b>Other medical complications</b>		
Hypoglycaemia	Hyperinsulinism	0.2% [59]
Hair loss	Multiple deficiencies?	
Renal stones	Oxalosis	
Liver failure	Steatohepatitis?	
Drug malabsorption	Intestinal bypass	
<b>Psychological complications</b>		
Persistent binge and night eating	Unmet expectations?	51% [61]
Depression	Unmet expectations?	23.4% [50]
Suicide and accidental death	Unmet expectations?	1.58 relative risk [62]

Micronutrient deficiencies and medical problems are procedure-specific and are principally complications of malabsorptive gastric and intestinal bypass surgery

Pinkney et al.  
Diabetologia 2010;  
53: 1815-1822.

# Hospital admissions after Bariatric Surgery in SOS trial



# Health Care Use During 20 Years after Bariatric Surgery in SOS trial

Outcome	Adjusted mean difference (95%CI)	P for difference
Hospital days year 1		
Surgery	7.8 (8.4-9.1)	<b>&lt;0.001</b>
Control		
Hospital days years 2-6		
Surgery	0.5 (0.2-0.7)	<b>&lt;0.001</b>
Control		
Hospital days years 7-20		
Surgery	0 (-0.3-0.3)	0.95
Control		
Non-Primary care outpatient visits years 2-6		
Surgery	0.3 (0.1-0.4)	<b>0.003</b>
Control		
Non-Primary care outpatient visits years 7-20		
Surgery	-0.2 (-0.4-1.0)	0.12
Control		
Drug costs years 7-20		
Surgery	-228 (-335 to -121)	<b>&lt;0.001</b>
Control		

# **Too lean a service?**

A review of the care of patients who underwent  
bariatric surgery

**NCEPOD 2012**

# Who assesses patients before bariatric surgery?

Table 4.7 Who assessed the patient prior to surgery?

Assessed by prior to surgery	Number of patients	%
Bariatric surgeon	384	96.5
Dietitian	326	81.9
Anaesthetist	268	67.3
Specialist nurse	248	62.3
Bariatric physician	89	22.4
Psychologist/Psychiatrist	70	17.6
Other	40	10.1
Respiratory physician	34	8.5

Table 4.3 Professionals attending the MDT

MDT attendees	Number of patients	%
Bariatric surgeon	236	93
Dietitian	230	91
Specialist nurse	207	81
Anaesthetist	114	45
Administrator	90	35
Bariatric physician	87	34
Psychologist/Psychiatrist	82	32
Other	18	7
Respiratory physician	14	6

\*Answers may be multiple n/251



# Adequacy of follow-up

**Table 6.5 Advisors' opinion on the adequacy of patient follow-up**

<b>Adequate follow-up</b>	<b>Number of patients</b>	<b>%</b>
Yes	215	67.8
No	102	32.2
<b>Subtotal</b>	<b>317</b>	
Unknown	64	
<b>Total</b>	<b>381</b>	

# Principal outcome measures for bariatric surgery

Weight loss

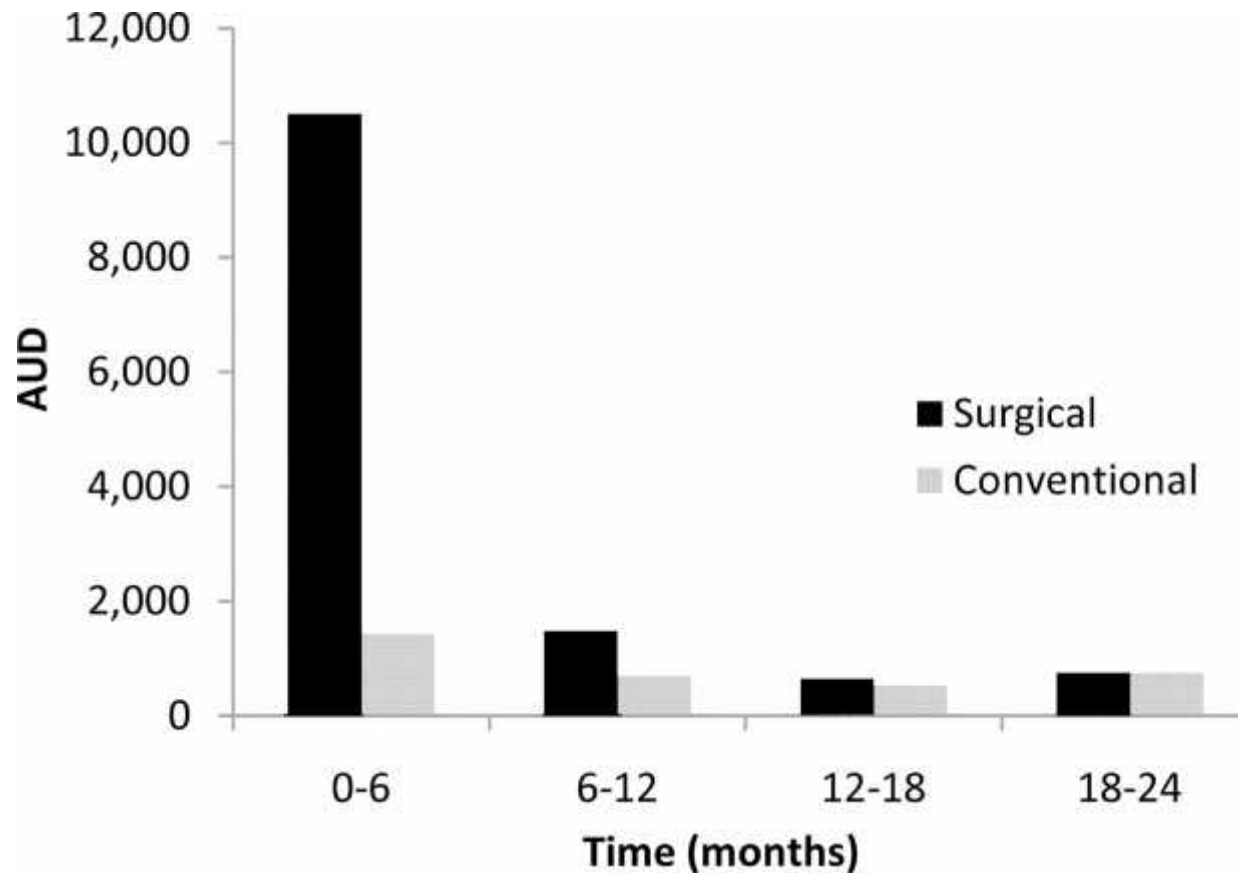
Impact on comorbidity

Quality of life

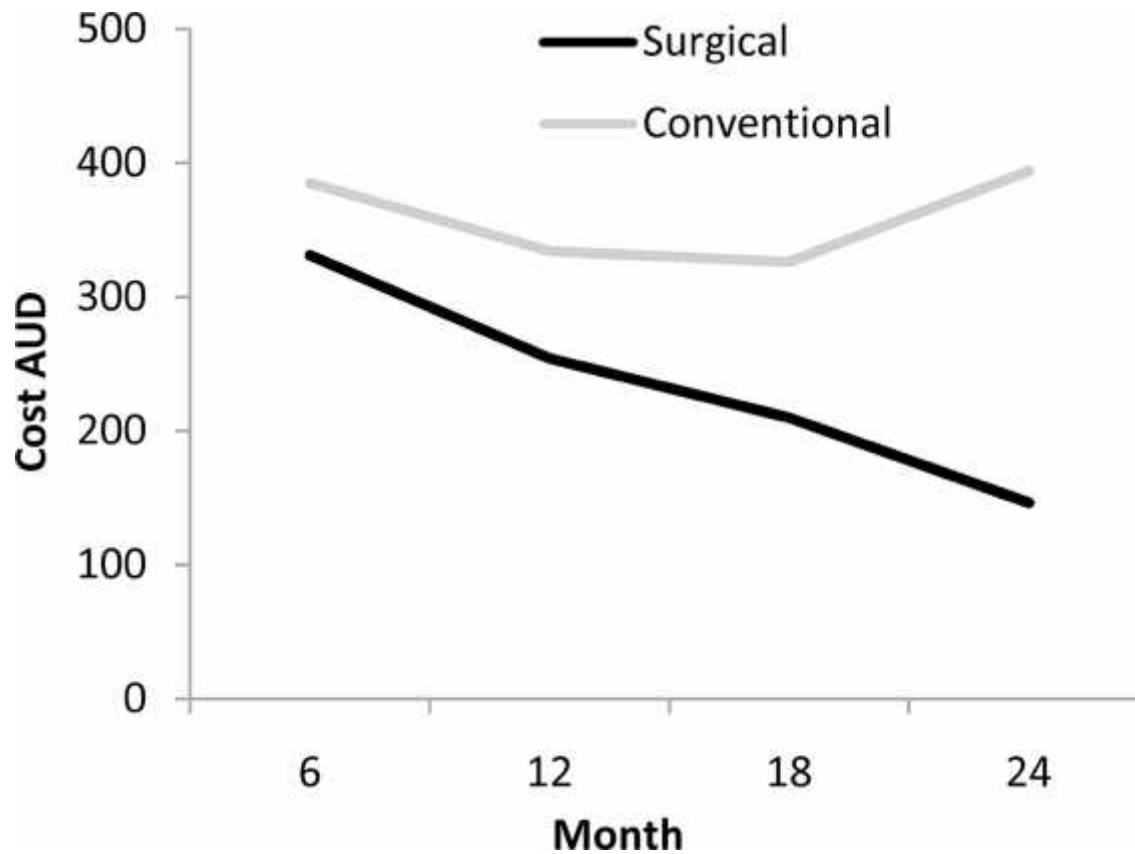
Safety

Cost

# Mean total intervention cost over time by intervention group



# Mean medication cost per patient over time by intervention group.



## **Cost-Effectiveness of Surgically Induced Weight Loss for the Management of Type 2 Diabetes: Modeled Lifetime Analysis**

Years in diabetes remission over a lifetime 11.4 for surgery and 2.1 for conventional therapy.

Over the remainder of their lifetime, surgical and conventional therapy patients lived 15.7 and 14.5 discounted QALYs, respectively.

The mean discounted lifetime costs were 98,900 AUD per surgical and 101,400 AUD per conventional therapy patient.

Relative to conventional therapy, surgically induced weight loss was associated with a mean health care saving of 2,400 AUD and 1.2 additional QALYs per patient.

# Uncertainties in economic analyses

Costs of training and configuring services for long term bariatric aftercare

Drug treatment costs

Frequencies and costs of side effects

Diabetes relapse incidence

Costs and frequency of late cosmetic procedures

# IDF position statement 2012

- “Bariatric surgery is an appropriate treatment for people with type 2 diabetes and obesity (BMI>35) not achieving recommended treatment targets with medical therapies, especially where there are obesity-related comorbidities. Under some circumstances people with a BMI 30-35 should be eligible for surgery”
- Complementary to other medical therapies
- Importance of MDT approach
- Optimise perioperative medical management
- Long term nutritional support
- Importance of risk/benefit assessment
- Evidence based approach to the introduction of new techniques

BUT

- Many remaining research needs

# Main gaps in the evidence base

- Prediction of likely impact on diabetes
- Prediction of diabetes relapse
- Long term outcomes from different procedures
- Mechanisms of diabetes improvement
- Impact on natural history of complications
- Optimum concomitant medical therapy
- Effects on diabetes at lower BMI
- Long term controlled studies
- Health economics
- Diabetes-specific outcome studies



# A critical review of outcomes of bariatric surgery

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